A sensitivity analysis of the European Union coastal zone based on environmental and socio-economic sustainability indicators

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Abstract

Coastal zones, which have a very high biodiversity value and a long history of being impacted by human activities, are among the most vulnerable ecosystems on our planet. Moreover, some scenarios predict that within 50 years more than 75% of the global human population will live in coastal zones. Although Europe's coasts are among the most altered, there are significant regional differences within Europe in terms of naturalness and human pressure. During the integrated SENSOR project of the European Union's (EU) 6th Framework Programme, we have analyzed the sensitivity of the EU's coastal zones using data on 191 coastal cells at The Nomenclature of Territorial Units for Statistics (NUTS) level, based on information from the Statistical Office of the European Community (EUROSTAT) and other Europe-level sources. To determine sensitive coastal areas, multidimensional clustering was provided. The NUTSx level (a combination of NUTS2 and NUTS3 level cells in order to achieve better spatial coverage) clustering resulted in seven clusters which were not, however, well distinguished in terms of the SENSOR sensitivity areas concept. Therefore clustering on the NUTS2 level was provided using 15 parameters characterizing economic, social and environmental aspects, yielding six clusters that were logically distinguishable from each other. The cluster characterized by a rapidly growing economy combined with relatively high but decreasing unemployment, low but increasing income, a low rate of investment in research and development (R&D), a low share of arable land but a high rural population, moderate length of coastline with the highest share of coast exposed to coastal erosion and a highly variable level of environmental protection, including 23 coastal NUTS2 areas plus five islands, has to be considered sensitive and needs further attention from the European Commission.

Keywords: coastal zone, designated areas, European Union, GDP, sensitivity analysis, SENSOR, sustainability assessment.



1 Introduction

Coastal zones, which have a very high biodiversity value and a long history of being impacted by human activities, are among the most vulnerable ecosystems on our planet [1]. The expansion of economic activities, urbanization, increased resource use and population growth continuously increase the vulnerability of the coastal zone. This vulnerability is now raised further by the threat of climate change and accelerated sea level rise [2]. Moreover, according to some scenarios, in 50 years more than 75% of the global human population will live in coastal zones [3], while six billion people are already expected to live in the coastal zone by 2025. Although Europe's coasts are among the most altered ones in the world, there are significant regional differences within Europe in terms of naturalness and human pressure [4]. The sensitivity (vulnerability) of coastal areas has been recognized by many authors and authorities. The reason for this is the high pressure from a developing economy (tourism and recreation, rising GDP, traditional coastal fisheries etc.), increasing human population and the environmental sensitivity of the coast. The last issue is related to problems such as the dramatic loss of biodiversity [5], climate-change-driven sea level rise [6, 2], storm and tsunami hazards, increasing erosion and seawater intrusion into freshwater sources [7].

The problems of assessing coastal areas in the development of planning and policies are highly relevant. As presented by several authors [8, 9], integrated coastal zone management (ICZM) is recognised as the most effective tool to incorporate the conservation and sustainable use of marine and coastal biodiversity aspects into the planning of coastal areas. Therefore the growing concerns about the deterioration of the European coastline, environmentally, socio-economically and culturally, have prompted the European Commission (EC) and Member States to introduce a range of measures since 1996. It is intended that these measures will lead to the sustainable development of the whole European coast in the future. The first of these was the Commission's Demonstration Programme. This 3 year programme [10] included 35 individual projects and six thematic studies, embracing the Baltic Sea, the North Sea, the Atlantic seaboard and the Mediterranean Sea, and was launched in 1996. It was a joint programme of the three Directorates General, viz. Environment, Regional Development and Fisheries. Its aim was to test co-operation models for the integrated management of the coastal zones and to stimulate a broad debate among the various stakeholders involved in coastal planning and the management or use of the coastal zones. It was also intended to provide the technical results necessary to foster dialogue between the European Institutions and coastal stakeholders. Based on the results of this programme, the European Commission has subsequently produced two important documents on the subject of the ICZM. The first of these is a strategy for the EC [11] concerning the implementation of the ICZM throughout the EU coastal states. This 38-point strategy consists of a series of concrete actions building upon existing instruments, programmes and resources and is a flexible, evolving instrument designed to cope with the specific needs of the different regions and conditions.



One of the activities (no. 27) calls for the improvement of data provision and the use of this data to produce indicator-based assessment reports. The second document is a recommendation [12], which was called for as the first point of the strategy. This recommendation, although not legally binding, has now been adopted for implementation by all member states. The EU 6th Framework integrated SENSOR project can also be added to this list [13].

The sustainability of coastal areas has been addressed by many authors. Researchers have analysed general questions of the sustainability of coastal zones and options to evaluate sustainability in different regions of the world [14, 15] or compared to the effectiveness of policies in different regions [16]. In some cases specific indicators have been offered for the measurement of sustainability, and applied to certain regions [9, 17, 18]. A number of studies deal with different aspects of coastal zone management [~19, 20], including economic aspects [21] and legislative measures [22]. In particular, in many cases integrated means for management are seen as a useful tool [23–25].

Planning as a tool for management is also found to be useful [26], the importance of public participation in the planning process is underlined [27], and the development of a vision for the future [28] is accentuated. The management of knowledge [29], the resolution of conflicts between different interest groups [30], capacity building in the local community [31] and a clear understanding of the role of stakeholders [32], is found to be critical to achieve sustainability in social aspects.

Numerous papers address methodological questions or specific methods for coastal zone management and research [33], including tools like environmental impact assessment [34], remote sensing and GIS and mapping in coastal zone management [35]. In addition, the methodological aspects of upscaling – downscaling have been addressed in the comparison of local solutions and European perspectives [36].

The impact of tourism and urbanisation has been found to be a very highpressure factor in coastal areas [37]. More specific aspects of sustainability have also been addressed, for instance water quality [38] and resource management in coastal waters – aquaculture [39], fishing or mussel cultivation [40]. The results of the quantitative assessment of habitat and nutrient fluxes in coastal areas as an environmental factor were analysed [41, 42]. The increased amount of research on coastal areas is demonstrated by many papers reporting different research projects directed towards coastal areas [43, 44]. Also, options for the measurement of sustainability and different indicators useful for management schemes and policies are addressed. For example, Spangenberger and Hinterberger (as presented by Spangerberger [18]) have offered a two-layer indicator system for the European Union, based on the concept of environmental space and four different types of capital. The first layer includes unidimensional key indicators and the second adds indicators linking the key indicators. In another study, Shi et al. [17] have calculated the sustainability of coastal areas in Shanghai, China, using a similar set of indicators.

The objectives of the study are to compile an overview of the location of sensitive regions in European coastal areas and define a methodology for the



identification of key environmental, social and economic sustainability issues. This overview should help test the SENSOR project's Sustainability Impact Assessment Tool (SIAT) [13] and be linked to a methodology and map for European Integrated Spatial Reference Framework and sustainability issues identified in the extensive and intensive social studies.

We consider sensitivity to be a ratio of conflicts between different aspects of development and conservation issues [45]. An example of possible conflicts is given in Table 1.

	The numbers in the table show the tension level between the factors. NH – natural hazards, NC – nature conservation, D – development, R – restoration, TEU – traditional economic use.							
	NH	NC	D	R	TEU			
NH	Х							
NC	1	х						
D	3	3	х					
R	2	1	2	х				
TEU	2	1	3	2	х			

Table 1: Matrix of possible conflicts between selected sustainability factors. he

2 Material and methods

2.1 Coastal NUTSx areas

The analysis was performed on the basis of NUTSx regions that have a shoreline. NUTSx regions located no more than 10 km from the shoreline and having access to the sea via a river (Antwerpen, Oost-Vlaanderen, Comunidad Foral de Navarra) were also included. The exploration and evaluation of existing data, information and expert knowledge, results of ongoing and targeted research, and the generation of complementary information on social, economic. and environmental issues were gathered in an iterative way.

2.2 Data sources

Statistical data/indicator values were obtained from EUROSTATS for the NUTS2 level and from national statistics for the NUTS3 level. In addition, available Map Data such as CORINE Land Cover (CLC) 1990 and CLC 2000 were used. CLC changes indicate possible endangered areas (not all areas of change are necessarily sensitive). Available CORINE Biotopes layers were also analysed. Two European R&D projects - LACOAST and EUROSION - have addressed the environmental sensitivity of coastal zones in the 10 km strip along almost the whole coast of the EU. Data from these two projects was used in the study.

LACOAST (a General Directorate Environment of the European Commission in 2002-2004). The analysis was performed on the basis of CLC1990 and



comparative Landsat imagery from 1970, in the case of AC 3 (with the exception of Turkey), Belgium, Denmark, Estonia, Finland, France, Germany, Greece, Ireland, Italy, Latvia, Lithuania, the Netherlands, Poland, Portugal, Slovenia, Spain and the United Kingdom.

EUROSION (a General Directorate Environment of the European Commission project in 2002-2004). The project geocoded CORINE Biotopes for AC 3 (with the exception of Turkey), Austria, Belgium, Denmark, Estonia, Finland, France, Germany, Greece, Ireland, Italy, Latvia, Lithuania, the Netherlands, Poland, Portugal, Spain and the United Kingdom), and actually covers a broader area than just the coast. The data available on the EEA site (http://www.eea.eu.int/main_html) forms the background for the analysis of changes that have taken place after 1990. UNDP Human Development Indicators were used (http://hdr.undp.org/statistics/data/).

2.3 Statistical analysis of the data

The coastal areas were analysed through combined indicators. The data available for NUTSx areas was preferred [47], and in cases where direct data for NUTS3 areas was not available, data from the relevant NUTS2 area was used instead. The indicator values were calculated for all NUTSx cells. Maps were produced using ArcGIS 9.1 and the ETRS89 Lambert Azimuthal Equal Area projection. Multidimensional clustering (k-means statistics) with selected indicators was provided in order to highlight possible NUTSx areas sensitive to several factors using Statistica 7.

3 Results and discussion

3.1 Environmental and socio-economic factors characterizing sustainability

Environmental values and the loss of highly valued areas characterize sustainability issues. The number of designated areas can be considered to be an indicator of ecological value and awareness (Fig. 1). Also, the total length of the coastline and the proportion of the coast affected by erosion appeared to be important for clustering.

Population density and the changes therein is another important factor that characterizes the pressure and affects sustainability. In multifactorial clustering, population density appeared to be an important factor. A change in land use in the area is one of the leading sustainability factors, with the share of agricultural land and forested land having the greatest effect (Fig. 2). Other aspects of land use (the share of arable land and grasslands and changes in land use as demonstrated by a comparison of land use in 1990 and 2003, also show the sustainability of the cell. Purchasing power and the amount of money circulating in the area are also definitely important factors characterizing the pressures on the environment and thus the sustainability of the area. The increase of GDP in coastal areas is presented in Fig. 3 and 4. The rapid growth of GDP may be considered more dangerous, as the formation of stability requires time, and a



rapid increase in financial means may initiate a development that could endanger sustainability. The rapid growth of GDP is characteristics of the Baltic countries, Ireland, Poland and parts of the UK. Portugal and Greece also have a relatively high increase in GDP.

3.2 Multidimensional clustering

Clustering has been widely used to classify environmental, social and economic phenomena and combinations thereof [47].

In order to determine clusters of similar NUTSx areas, two attempts at cluster analysis were provided using selected indicators characterizing different aspects of sustainability. The unevenness of the data at the NUTSx level caused very high variability, and the clusters distinguished are not informative for the further selection of sensitive clusters. Therefore clustering was performed at the NUTS2 level using the same k-means clustering option.

3.2.1 Clustering at the NUTS2 level

At NUTS2 level we changed the selection of sustainability factors on the basis of our experience from the first clustering attempt. The results of this exercise are presented in Table 2 and Figure 5.



- Designated areas according Ramsar convention
- Figure 1: Ramsar sites in the European region. Source: Ramsar Convention Centre.



Share of agricultural land (%) in EU at NUTS 2 level in 2003



Figure 2: The proportion of agricultural land in the European coastal region. Source: EUROSTAT.



Figure 3: GDP per capita in 2002 (in Euros) by purchasing power standard. Source: EUROSTAT.





Figure 4: Increase in GDP per capita. Source: EUROSTAT.

The clusters are characterised by the following parameters.

Cluster 1. Economically and socially strong, the rate of development is moderate, but GDP is above the EU25 average. A high proportion of urban population combined with low unemployment, and environmental awareness is high.

Cluster 2. An economy that is stable and developing relatively slowly, with a high share of agricultural activities, a high share of rural population and relatively low GDP. This also causes a high rate of unemployment. Environmental awareness is marginal.

Cluster 3. A moderately developing economy which is slightly influenced by a high unemployment rate, partly caused by the high proportion of urban population. GDP is slightly below the EU25 average.

Cluster 4. Economically and socially very strong, a high proportion of urban population combined with high population density, low unemployment, a long coastline and well-developed environmental awareness.

Cluster 5 (Sensitive, Table 3). Rapidly growing economy combined with relatively high but decreasing unemployment, low but increasing income, and a low rate of R&D investment. A low share of arable land but a high rural population. Despite the moderate length of the coast line, the highest proportion of coastline exposed to coastal erosion. Environmental protection has a high variability within the cluster (from 14% to 2% of area for designated areas). However, in the Baltic Sea coastal zone of this cluster, environmental and nature protection issues are of high importance. Therefore the conflicts between development and nature conservation issues are extremely relevant.



Table 2: Clustering at NUTS 2 level. Parameters used for clustering. Population POP DENSIT _ density: **UNEMP 2004** unemployment rate, % MEUR – change in GDP between 1995 and 2002 in millions of EUR; 2002 EU25 % - relative GDP compared to EU 25 average; 02 95 CH% - change (%) in GDP per inhabitant by Purchase Power Standard compared to the EU25 average in % from 1995 to 2002; 2002HABEUR - GDP (in EUR) per inhabitant by Purchase Power Standard compared to the EU25 average in %; Agri% 2003 - the percentage of agricultural land; Forest% 2003 – the percentage of forest land in the cell; RAMSAR - the number of Ramsar sites in the cell; R 1000SOKM - the number of Ramsar sites per 1000 sq km; UrbanPop% - the percentage of urban population in the area; Designated% - the share of nationally designated ecologically valuable areas in the cell: R&D2004mEUR – spending on research and development in 2004; CLENGTH KM - the length of coastline in the cell; COAST EROD – the percentage of coastline exposed to erosion.

Indicator	Cluster 1	Cluster 2	Cluster 3	Cluster 4	Cluster 5	Cluster 6
POP_DENSIT	182.6	161.3	127.5	279.8	183.3	207.1
UNEMP_2004	6.3	13.6	12.1	5.3	10.1	9.5
%_MEUR	43.9	52.1	58.6	55.8	76.5	30.7
2002_EU25_%	109.6	77.3	82.9	142.3	68.8	97.3
02_95_CH%	-2.4	-2.5	6.9	9.1	6.1	-3.7
2002HABEUR	24,014.8	15,635.4	15,072.3	34,006.9	10,834.0	22,009.1
Agri%_2003	36.2	56.6	37.0	40.1	31.8	57.5
Forest%_2003	31.9	18.3	39.6	24.8	26.0	18.2
RAMSAR	5.6	1.9	2.7	8.4	2.0	3.2
R_1000SQKM	0.5	0.09	0.1	0.7	0.1	0.2
UrbanPop%	77.2	67.0	76.0	78.0	57.9	78.4
Designated%	7.1	8.0	8.0	9.4	4.7	15.1
R&D2004mEUR	9999.8	6319.8	8946.0	14,769.0	817.7	20,495.4
CLENGTH_KM	967.5	688.3	679.3	1882.0	723.4	640.2
COAST_EROD	50.6	167.3	80.0	153.1	173.7	151.2

Cluster 6. A traditionally strong and stable economy with a high proportion of agricultural activities. Population density is high and most of the population lives in urban areas. Despite the high living standard, a high level of R&D expenditure is needed to avoid economic stagnation.

For our further activities we can consider Cluster 5 to be the most sensitive, one because of the highest degree of potential conflicts between development and environmental and social factors. Therefore the number of sensitive NUTS2 cells for further SENSOR project activities in coastal areas will be 23 (Table 3).





Figure 5: Regional clusters of European coastal zone based on economic, social and environmental indicators.

Table 3:Sensitive coastal NUTS2 cells.

Cada	MUTC2 region	Cada	NULTEO region
Code	NU152 legion	Code	NU152 Tegion
EE00	Eesti	LT00	Lietuva
GR11	Anatoliki	LV00	Latvija
	Makedonia,		
	Thraki		
GR12	Kentriki	PL42	Zachodniopomorskie
	Makedonia		
GR14	Thessalia	PL62	Warminsko-
			Mazurskie
GR21	Ipeiros	PL63	Pomorskie
GR22	Ionia Nisia	PT11	Norte
GR23	Dytiki Ellada	PT15	Algarve
GR24	Sterea Ellada	PT16	Centro (P)
GR25	Peloponnisos	PT17	Lisboa
GR30	Attiki	PT18	Alentejo
GR41	Voreio Aigaio	SI00	Slovenija
GR42	Notio Aigaio		-



The other 5 NUTS2 areas also belonging to the sensitive cluster 5 are islands and should be handled considering specific island issues. These are CY00 – Kypros / Kibris; GR43 – Kriti; MT00 – Malta; PT20 – Regićo Autonoma dos Açores; PT30 – Regićo Autonoma da Madeira.

4 Conclusions

The NUTSx level clustering resulted in 7 clusters which were not, however, well distinguished in terms of the SENSOR sensitivity areas concept. Therefore we provided the NUTS2 level clustering using the following indicators: population density, unemployment rate, change in GDP between 1995 and 2002 in millions of EUR, relative GDP compared to the EU 25 average, the change (%) in GDP per inhabitant by the Purchase Power Standard compared to the EU25 average in % from 1995 to 2002, GDP (in EUR) per inhabitant by Purchase Power Standard compared to the EU25 average in %, the percentage of agricultural land; the percentage of forest land in the cell; the number of Ramsar sites in the cell, the number of Ramsar sites per 1000 sq km, the percentage of urban population in the area; the share of nationally designated ecologically valuable areas in the cell, spending on research and development in 2004, the length of the coastline in the cell and the percentage of coastline exposed to erosion as parameters that gave six clusters that are logically distinguishable from each other. As a result, 6 clusters have been distinguished, among which Cluster 5 was found to be the sensitive one. The cluster consisting of the Baltic Sea coast of Estonia, Latvia, Lithuania and Poland, the Atlantic coast of Portugal, the Greek Mediterranean coast and the Slovenian coast is characterized by a rapidly growing economy combined with relatively high but decreasing unemployment, low but increasing income and a low rate of investment in R&D. There is also a low proportion of arable land, but a high rural population. Despite the moderate length of the coastline, this cluster has the highest proportion of coastline exposed to coastal erosion. Environmental protection has a high variability within the cluster (from 14% to 2% of area for designated areas). In this area the potential risk of conflicts between development and conservation issues, which is the criterion for sensitivity, is highest. This region needs further attention from the European Commission.

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