Optimization of the underground gas storage in different rock environments

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Abstract

This paper presents the cost optimization of underground gas storage (UGS), designed from lined rock caverns (LRC). The optimization is performed by the non-linear programming (NLP) approach in different rock environments. For this purpose, the NLP optimization model OPTUGS was developed. The model comprises the cost objective function, which is subjected to geomechanical and design constraints. The optimization proposed is to be performed for the phase of the conceptual design. A numerical example at the end of the paper demonstrates the efficiency of the introduced optimization approach.

Keywords: underground gas storage, UGS, lined rock cavern, LRC, rock mass rating, RMR, optimization, non-linear programming, NLP.

1 Introduction

This paper deals with the optimization of the investment and operational costs of the underground gas storage (UGS), designed from lined rock caverns (LRC) [1–3]. The optimization is performed by the non-linear programming (NLP) approach. For this purpose, the NLP optimization model is developed. Since the optimization is proposed to be performed for the phase of the conceptual design, only some basic conditions are defined in the optimization model in order to assure enough strength safety of the rock mass and impermeability of the cavern wall and steel lining. The latter is achieved by the limitation of steel lining and concrete wall stains.

Since there exist various rock masses with enough strength to support the UGS, the optimization of the UGS is proposed to be calculated in different rock environments. For this purpose, a rock mass classification – the so called rock mass rating (RMR) system is used.



The considered UGS is performed by the construction of one or more LRCs. The structure of the LRC is simple: its reservoir wall is designed from a concrete wall and a steel lining. Although the concrete wall is reinforced, it just transports the gas pressure from the cavern on the surrounding rock. The same holds with the steel lining, which only enables the impermeability (sealing) of the cavern.

The primary objectives of the proposed optimization of the investment and operational costs of the UGS are:

- Minimization of the investment and operational costs of the UGS system in different rock environments (RMR),
- Calculation of the optimal inner gas pressure, the cavern depth, the cavern inner diameter, thickness of the cavern concrete wall and the height of the cavern tube through the optimization for the treated RMR,
- Storing the highest possible quantity of gas under high pressure,
- Ensure the safety of the UGS at the time of construction and service.

In order to achieve the upper objectives, the geotechnical problem is proposed to be solved simultaneously. Geomechanical rock mass parameters are determined from geological conditions of a selected suitable UGS location and a special FE model is generated. Strength stability of the rock mass and safety of the system are then analyzed for various design parameters like the inner gas pressures, cavern depths, cavern diameters and the cavern wall thickness. As a result, geomechanical constraints are proposed to be approximated/defined and put into the optimization model.

2 Underground gas storage designed from LRC

The design of the considered LRC structure is typical, see Figure 1. The LRC consists from the cylindrical wall and the upper and lower spheres. The caverns are typically 50 to 100 m high and are located at depths from 100 to 300 m. Their concept involves relatively large diameters: between 10 and 50 m. The concrete wall is 2 or more meters thick, the thickness of the steel lining amounts from 12 to 15 mm.

It is expected that the gas pressure cyclically increases and decreases during periods of gas supply and discharge between the minimal (3 MPa) and maximal (calculated) value. The internal pressure therefore causes static and cyclic loads. The minimum lifetime of the LRC is limited to be higher than 500 cycles. Since caverns are constructed at the depths between 100 and 300 m, the hydrostatic pressure reaches 1 to 3 MPa. Drainage system is installed on the outer side of the cavern wall. It drainages the water and enables the monitoring, collection and removing of the gas in the case of gas leakage.

The system of tunnels is designed in order to transport material and allow the access for machinery during the construction of the underground chambers. The tunnels also provide a cost-effective mining of caverns. Cross-section of tunnels amounts about 25 m² in the flat areas and 40 m² in curved areas.





Figure 1: Vertical cross-section of the lined rock cavern (LRC).

The risks which occur during the construction are similar to ones at the construction of tunnels: large scale failure of the rock cover, large deformations of the cavern wall, irruption of the water and impact on water resources in the surrounding area. The risks which may occur during the operation are: failure of the rock mass, uplift of the rock cover, failure of the rock between two caverns, large deformation or destruction of the steel lining, unequally deformation of the LRC structure because of the rock heterogeneity and the draining system does not work. Since the risks during the operation are decisive, the risks during the construction are not considered explicitly in this paper.

The LRC concept should provide a safe and environmentally friendly mode for gas storage. Since the gas should never been in contact with the environment, the gas storage is designed as a closed system. The caverns and the entire gas network are impermeable.

3 Optimization model OPTUGS

The general optimization model OPTUGS was developed for the optimization of the underground gas storage. As the optimization problem of the UGS is non-



linear, the non-linear programming (NLP) optimization approach is used and described in the paper.

3.1 NLP problem formulation

subjected to:

The general NLP optimization problem can be formulated as follows:

min
$$z = f(x)$$

 $h(x) = 0$ (NLP)
 $g(x) \le 0$
 $x \in X = \{ x \mid x \in \mathbb{R}^n, x^{Lo} \le x \le x^{Up} \}$

where x is a vector of continuous variables, defined within the compact set X. Functions f(x), h(x) and g(x) are nonlinear functions involved in the objective function z, equality and inequality constraints, respectively. All functions f(x), h(x) and g(x) must be continuous and differentiable.

In the context of structural optimization, variables include dimensions, crosssection characteristics, strains, materials, stresses, economic parameters, etc. Equality and inequality constraints and the bounds of the variables represent a rigorous system of the design, loading, stress, resistance and deflections functions taken from structural analysis and the dimensioning.

3.2 NLP optimization model

According to the above NLP problem formulation, an NLP optimization model OPTUGS was developed. As an interface for mathematical modelling and data inputs/outputs GAMS (General Algebraic Modelling System), a high level language [4], was used. The proposed optimization model includes input data (constants), variables and cost objective function of the UGS system, subjected to defined geomechanical and design non-linear and linear constraints.

Input data represent design and economical data (constants) for the optimization. Coefficients involved in the objective function and geomechanical inequality constraints are also defined as input data.

The inner gas pressure p [MPa], the cavern depth h [m], the cavern inner diameter d [m], the cavern concrete wall thickness t [m] and height of the cavern tube h_{cav} [m] are in the optimization model OPTUGS declared as variables, see Figure 1.

3.2.1 Objective function

The objective function comprises the investment and operational costs of the UGS system *COSTS* [EUR], see eqn. (1).



$$COSTS = \begin{cases} \cos ts_{up} + \cos ts_{under} \\ + C_{exc,tun} \cdot V_{exc,tun}^{sf} + C_{prot,tun} \cdot V_{exc,tun}^{sf} \\ + C_{exc,cav} \cdot V_{exc,cav}^{sf} \\ + C_{prot,cav} \cdot A_{exc,cav}^{sf} + C_{drain} \cdot A_{exc,cav}^{sf} \\ + C_{wall} \cdot (V_{exc,cav}^{sf} - V_{cav}^{sf}) + C_{reinf} \cdot (V_{exc,cav}^{sf} - V_{cav}^{sf}) \cdot \rho \cdot r_{perc} \\ + C_{steel} \cdot A_{cav}^{sf} \end{cases}$$
(1)

The objective variable *COSTS* includes fixed costs (constant sum) and dimension dependence (variable) costs. The fixed costs amounts upper ground works $costs_{up}$ [EUR] and underground works $costs_{under}$ [EUR].

The dimension dependence costs are dependant on a depth of the tunnel excavation, structure and protection, on a geometry of the cavern excavation, protection and drainage system as well as on used quantities of the cavern concrete, the reinforcement and steel lining. In this way, the tunnel excavation and protection costs are determined by the term $C_{exc,tun} V^{sf}_{exc,tun} + C_{prot,tun} V^{sf}_{exc,tun}$, where $C_{exc,tun}$ [EUR/m³] represents the price of the tunnel excavation, $C_{prot,tun}$ [EUR/m³] is the price of the tunnel protection and $V^{sf}_{exc,tun}$ [m³] denotes a volume of vertical and horizontal tunnel excavation. The cavern excavation costs $C_{exc,cav} V^{sf}_{exc,cav}$ are defined with the price of the cavern excavation $C_{exc,cav}$ [EUR/m³] and the cavern excavation volume $V^{sf}_{exc,cav}$ [m³].

In addition, the cavern protection and drainage system costs are expressed by the expression $C_{prot,cav} \cdot A^{sf}_{exc,cav} + C_{drain} \cdot A^{sf}_{exc,cav}$, where $C_{prot,cav}$ [EUR/m²] represents the price of the cavern protection, C_{drain} [EUR/m²] stands for the price of the cavern drainage and $A^{sf}_{exc,cav}$ [m²] is the cavern excavation area. Costs of the concrete for walls are calculated by the expression $C_{wall} \cdot (V^{sf}_{exc,cav} - V^{sf}_{cav})$, where C_{wall} [EUR/m³] represents the price of the cavern wall concrete, the term $(V^{sf}_{exc,cav} - V^{sf}_{cav})$ denotes the volume of used concrete, V^{sf}_{cav} stands for the inner volume of the cavern. Costs for the reinforcements are defined by the term $C_{reinf} (V^{sf}_{exc,cav} - V^{sf}_{cav}) \cdot \rho \cdot r_{perc}$, where C_{reinf} [EUR/t] is the price for the wall reinforcement, ρ [t/m³] represents the unit mass of steel and r_{perc} [-] denotes the percentage of the reinforcement.

Finally, costs of steel lining are determined by the term $C_{steel} A^{sf}_{cav}$, where C_{steel} [EUR/m²] represents the price of the steel lining and A^{sf}_{cav} the spread area of the steel lining (inner cavern area). No_{cav} stands for the number of constructed caverns in the UGS system.

3.2.2 Geomechanical constraints

Geomechanical inequality constraints enable the rock mass strength stability and safety. In this way, four conditions have to be defined in a form of four geomechanical inequality constraints:

- Condition 1: Strength of the rock mass is not exceeded, eqn. (2),
- Condition 2: Uplift of the rock cover is prevented, eqn. (3),
- Condition 3: Failure of the rock between two caverns is prevented, eqn. (4),



• Condition 4: Strains of the steel lining need to be limited under the acceptable value, eqn. (5).

Condition 1 is checked by eqn. (2), bay which the design gas pressure p [MPa] must not exceed the limit gas pressure p_{GSI} , calculated by Noren criterion [5]. While parameter *GSI* has to be evaluated for the each UGS system separately by the generalized Hoek-Brown failure criterion [6], parameter a is 0.004 and parameter b is 2.190.

$$p \le p_{GSI}^{sf} \tag{2}$$

where:

$$p_{GSI}^{sf} = a_{\rm N} \cdot GSI^{b_{\rm N}} \tag{2.1}$$

Condition 2 is satisfied when the calculated safety factor against the rock cover uplift SF_{up} is greater than a defined minimal value $SF_{up,min}$, see Eq. (3). A typical value for $SF_{up,min}$ is taken 3.

$$SF_{up}^{sf} \ge SF_{up,min}$$
 (3)

where SF_{up}^{sf} is a following substitution function:

$$SF_{up}^{sf} = SF_{up,0} \cdot c_1 \cdot \left(\frac{p}{p_0}\right)^{f_1} \cdot c_2 \cdot \left(\frac{h}{h_0}\right)^{f_2} \cdot c_3 \cdot \left(\frac{d}{d_0}\right)^{f_3}$$
(3.1)

When Condition 3 is considered, the calculated safety factor against the rock failure between two caverns SF_{horiz} must be greater than a defined minimal value $SF_{horiz,min}$, see Eq. (4). A typical value for $SF_{horiz,min}$ is 2.5.

$$SF_{horiz}^{sj} \ge SF_{horiz,min}$$
 (4)

where SF_{horiz}^{sf} is:

$$SF_{horiz}^{sf} = SF_{horiz,0} \cdot g_1 \cdot \left(\frac{p}{p_0}\right)^{l_1} \cdot g_2 \cdot \left(\frac{h}{h_0}\right)^{l_2} \cdot g_3 \cdot \left(\frac{d}{d_0}\right)^{l_3}$$
(4.1)

When Condition 4 is checked, strains of steel lining ε are limited to be smaller than a defined maximal strain ε_{max} , see Eq. (5). For a typical number of 1000 cycles of cavern loading ε_{max} is 3.5 ‰.

$$\varepsilon^{sf} \le \varepsilon_{max} \tag{5}$$

where ε^{sf} is:

$$\varepsilon^{sf} = \varepsilon_0 \cdot j_1 \cdot \left(\frac{p}{p_0}\right)^{k_1} \cdot j_2 \cdot \left(\frac{h}{h_0}\right)^{k_2} \cdot j_3 \cdot e^{k_5 \left\lfloor \frac{t'_d}{t_0'_{d_0}} \right\rfloor}$$
(5.1)



WIT Transactions on The Built Environment, Vol 125, © 2012 WIT Press www.witpress.com, ISSN 1743-3509 (on-line)

While p [MPa] represents inner gas pressure, h [m] is the cavern depth, d [m] stands for the cavern inner diameter and t [m] is thickness of the concrete wall; constants p_0 , h_0 , d_0 , t_0 , and l_0 represent the initial values of mentioned parameters.

It should be noted that the substituted functions, i.e. eqn. (3.1), (4.1) and (5.1), are proposed to be approximated from a series of FEM analyses for the particular UGS system and its geomechanical parameters (see the numerical example at the end of the paper).

3.2.3 Design (in)equality constraints

Design (in)equality constraints determine the UGS cavern geometry and the gas pressure to be calculated inside the defined limits. The reliability of the system depends on several geometrical parameters such as the depth and diameter of the cavern, and its height. Thickness of the cavern wall also plays an important role. Relation between the cavern volume, the design stored gas capacity and gas pressure should also be defined. Gas pressure p is calculated by the constraint (6).

$$10 \le p \le 30 \tag{6}$$

Depth of the cavern h [m] is bounded, see eqn. (7).

$$100 \le h \le 300 \tag{7}$$

The cavern inner diameter d [m] vary between its lower and upper bounds, see eqn. (8).

$$10 \le d \le 30 \tag{8}$$

Constraint (9) defines bounds of the concrete wall thickness t [m].

$$2 \le t \le 5 \tag{9}$$

The height of the cavern tube h_{cav} [m] is defined by eqn. (10).

$$0 \le h_{cav} \le 30 \tag{10}$$

Relation between the cavern volume V_{cav}^{sf} , the stored gas capacity V_{gas} and the gas pressure p is given by eqn. (11).

$$V_{cav}^{sf} = \frac{V_{gas}}{13,246 \cdot p - 0,073 \cdot p^2}$$
(11)

4 Numerical example

In order to interpret the proposed optimization approach, the paper presents a study of the NLP optimization of the investment and operational costs of an underground gas storage, planned in Senovo [7, 8], located in the south-eastern part of Slovenia. The UGS is planned to be constructed from a single lined rock cavern in order to store 5.56 millions m^3 of natural gas. The concrete C 30/37 and structural steel S 235 are used for the construction of tunnels, cavern walls



and steel lining. Steel S 400 was used for the reinforcement. Steel lining is 12 mm thick. The optimization/calculation of the UGS system comprises:

- Optimization of the UGS in seven different rock environments,
- Determination of the rock mass parameters for the location of Senovo,
- Definition of the geomechanical inequality constraints for the treated UGS,
- Optimization of the UGS system Senovo.

4.1 Optimization of the UGS in 7 different rock environments

For the optimization, 7 different rock mass qualities were defined, for which different rock mass parameters are typically determined. We used the rock mass rating (RMR) system. E.g., the unconfined compressive strengths of the intact rock σ_{ci} are 55, 60, 65, 70, 75, 80 and 85 MPa, the geological strength indexes *GSI* are 41, 46, 51, 56, 61, 66 and 71, etc. Consequently, for 7 different rock environments (parameters), 7 optimizations were performed for the data of the UGS Senovo to store 5.56 millions m³ of natural gas. The developed optimization model OPTUGS was applied. The optimal investment and operational costs per the cavern and per the m³ of stored gas in Senovo are presented in Table 1.

Rock mass rating RMR	Investment costs per the cavern, EUR	Investment costs per m ³ of the stored gas, EUR/m ³
RMR 1	36.701·10 ⁶	6.601
RMR 2 (Senovo)	$32.807 \cdot 10^{6}$	5.901
RMR 3	$31.172 \cdot 10^{6}$	5.606
RMR 4	$30.193 \cdot 10^6$	5.430
RMR 5	$29.783 \cdot 10^{6}$	5.357
RMR 6	$29.733 \cdot 10^{6}$	5.348
RMR 7	$29.625 \cdot 10^6$	5.328

Table 1:Optimal investment and operational costs of the UGS Senovo in 7
possible different rock environments (RMR).

While the highest costs were obtained for the lowest rock strength (RMR 1), the costs of all other RMRs were found to be smaller and similar. Rock masses RMR 2 to RMR 7 can be thus suitable for storing the gas. Since in the neighbourhood of Senovo the rock mass RMR 2 was located, it was selected for the storing the gas. The optimization in this rock environment is presented in the following sections.

4.2 Determination of the rock mass parameters

Data obtained from geological mapping and geological inventory of the core wells, confirming act and limestone dolomites in the eastern area of mine Senovo are presented in reference [7]. After all needed geological data were obtained, rock mass parameters were determined on the basis of the generalized Hoek-



Brown failure criterion [6, 9]. The computer program RocLab was applied [10]. While the unconfined compressive strength of the intact rock σ_{ci} was 60 MPa, the geological strength index *GSI* was 46. The Mohr-Coulomb strength parameters are derived, i.e. the cohesion *c* was 900 kPa and the friction angle φ was 39°. Finally, calculated were the rock mass parameters: the rock mass tensile strength σ_r was 0.092 MPa, the uniaxial rock mass compressive strength σ_c was 2.29 MPa, the global rock mass compressive strength σ_{cm} was 8.13 MPa and the rock mass deformation modulus E_{rm} was 10.70 GPa.

4.3 Definition of the geomechanical inequality constraints

After the rock mass parameters were determined, the calculation was followed by the development of the geomechanical inequality constraints for the location of Senovo. When Conditions 1, 2 and 3 (strength, uplift and failure of the rock) where checked, the rock mass was treated as discontinuous, having enough shear strength. On the other hand, when strains of the steel lining were calculated (Condition 4), the rock mass was treated as a continuum with uniform conditions over the circumference of LRC. It was assumed that the concrete cracks are small and enable to transfer the load on the rock mass.

While Eq. (2) was in point of fact already defined because the GSI parameter was determined as mentioned in Section 4.2, other geomechanical constraints, i.e. eqn. (3)-(5) with all coefficients had still to be evaluated.

For this purpose, a 3-D FE model of the UGS system and the surrounding rock mass area was generated. The FE mesh, consisted from triangle prismatic finite elements, was defined for a space area of 280x280x300 m³ (x-y-z, with z the axis in depth). The FEM computer program Plaxis Version 3D [11] was used. A series of FEM analyses for the treated UGS of Senovo were performed.

In this way, safety factors against the rock cover uplift SF_{up} and the rock failure between two caverns SF_{horiz} as well as strains of steel lining ε were calculated for various combinations between different inner gas pressures, cavern depths, cavern diameters and the cavern wall thickness.

As a result, geomechanical functions/constraints and their coefficients were finally approximated and put into the optimization model OPTUGS, see Table 2.

SF^{sf}_{up} eqn. (3.1)	SF^{sf}_{horiz} eqn. (4.1)	ε^{sf} eqn. (5.1)			
$p_0 = 20$ MPa, $h_0 = 150$ m, $d_0 = 25$ m, $t_0 = 2$ m					
$SF_{up,0} = 2.5686$	$SF_{horiz,0} = 2.6190$	$\varepsilon_0 = 4.9300$			
$c_1 = 0.9999$	$g_1 = 0.9946$	$j_1 = 1.0084$			
$f_1 = -0.9999$	$i_1 = -0.9701$	$k_1 = 1.8511$			
$c_2 = 0.9957$	$g_2 = 0.9990$	$j_2 = 1.0050$			
$f_2 = 1.7159$	$i_2 = 0.8714$	$k_2 = -0.5540$			
$c_3 = 0.9979$	$g_3 = 0.9789$	$j_3 = 1.1619$			
$f_3 = -1.0610$	$i_3 = -0.9410$	$k_3 = -0.1481$			

Table 2:Evaluated coefficients for geomechanical functions eqn. (3.1), (4.1)and (5.1).



4.4 Optimization of the UGS system

The optimization of the underground gas storage system in Senovo was performed by the NLP optimization approach. The task of the optimization was to find the minimal possible system's investment and operational costs for the phase of the conceptual design.

The developed optimization model OPTUGS was used. The economic data for the optimization, which were fulfilled into the objective function, are presented in Table 3. The design stored gas capacity per the cavern V_{gas} was planned to be 5.56 millions m³, number of planned caverns No_{cav} was 1, the volume of tunnel excavation per cavern was 1330 m³, the unit mass of steel ρ was 7.85 t/m³ and the percentage of the reinforcement r_{perc} was 0.15%.

<i>costs</i> _{up}	upper ground works	10480000	EUR
<i>costs</i> _{under}	underground works	6048025	EUR
$C_{exc,tun}$	price of the tunnel excavation	2440	EUR/m ³
C _{prot,tun}	price of the tunnel protection	1340	EUR/m ³
$C_{exc,cav}$	price of the cavern excavation	100	EUR/m ³
$C_{prot,cav}$	price of the cavern protection	90	EUR/m ²
C_{drain}	price of the cavern drainage	60	EUR/m ²
C_{wall}	price of the cavern wall concrete	190	EUR/m ³
C_{reinf}	price for the wall reinforcement	2000	EUR/t
C_{steel}	price of the steel lining	920	EUR/m ²

Table 3: Economic data for the optimization.

Since the NLP model OPTUGS is highly non-linear, the optimization was performed by the computer code GAMS/CONOPT2 (the general reduced gradient method) [12]. The optimal result represented the obtained UGS system's minimal investment and operational costs of 32.807 millions EUR or 5.901 EUR per m³ of stored gas. The solution also comprised the calculated optimal inner gas pressure p = 17.46 MPa, the cavern depth h = 190.12 m, the cavern inner diameter d = 30.00 m, thickness of the cavern concrete wall t = 2.00 m and the height of the cavern tube $h_{cav} = 17.63$ m, see Figure 2.

Since the investment and operational costs of the primal non-optimized project amounted 9.00 EUR per m³ of stored gas, the proposed optimization decreased the costs for 34.44%. The optimal solution also enabled the rock mass strength stability and the system's safety.

5 Conclusions

The paper presents the optimization of the investment and operational costs of underground gas storages (UGS) designed from lined rock caverns (LRC). The optimization is performed by the non-linear programming (NLP) approach in





Figure 2: Optimal design of the cavern, UGS Senovo.

different rock mass environments. For this purpose, the NLP optimization model OPTUGS was developed. As the model was developed in a general form, the optimization of the system can be performed for different economic conditions, different number of LRCs, various gas capacities as well as for different rock environments. The optimization is proposed to be performed for the phase of the conceptual design. Since there exist of various rock mass qualities to support the UGS, the optimization of the UGS is proposed to be calculated in different rock environments. For this purpose, a rock mass classification – the so called rock mass rating (RMR) is used.

A study of the optimization of investment and operational costs of underground gas storages is presented at the end of the paper. The optimization enables 34% of savings in investment costs when compared to the design obtained by the classical method. The optimal solution also enables the rock strength stability and the system's safety. The suitable rock mass was selected.



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