An automatic forest fires identification methodology using FY3A/B VIRR images

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Abstract

FengYun-3 (FY-3) is the second generation Polar Orbiting Meteorological Satellite Series of Chinese meteorological satellite. FY3A and FY3B were launched in the year 2008 and the year 2010 respectively. The Visible and Infrared Radiometer (VIRR) carried by FY3A/B (FY3A/B-VIRR), which spatial resolution is 1,100 meter at nadir and saturation temperature is more than 350k in central wavelength at 3.74µm channel. Based on the analyzing results of related bands of FY3A/B-VIRR by using typical samples, the algorithm applies dynamic thresholds to fire identification according to the character of FY3A/B VIRR images. The automatic forest fire identification program has been developed and integrated the background GIS data in IDL language. At the same time, the methodology and identification results have been validated by selecting forest fires which have taken place in the northeast or south forest region of China in nearly four years. The validation results showed that the commission is more than 90% and the average omission is less than 10%. So, the fire identification methodology can be satisfied regarding the need for the forest fire identification operation in China.

Keywords: FY 3A/B-VIRR image, forest fire, remote sensing technique.



1 Introduction

Forest fire is a prominent global phenomenon, which not only destroys natural vegetation, but also poses enormous danger to wildlife as well as to human life and property. In addition, biomass burning by fires has been identified as a significant source of aerosols, carbon fluxes, and trace gases, which pollute the atmosphere and contribute to radiative forcing responsible for global climate change [1]. Many forest fires have taken place every year in China. The average forest fire frequency is over 10,000 times and the average damaged forest area is about 800,000 ha from year 1950 to 2010. Forest fire has aroused extensive attention to Chinese government.

Timely and accurate detection of fires has become an issue of considerable importance. Various international organizations, such as the International Geosphere and Biosphere Program (IGBP) and Global Fire Monitoring Center (GFMC), have recognized the need for fire detection and monitoring using the high temporal and low spatial resolution satellite images at a global region [2]. Space-borne fire detection has been a topic of intensive research since the early 1980s. Much of the work has concentrated on fire monitoring methodology or estimation of atmospheric emissions from fires. There are many algorithms by using Advanced Very High Resolution Radiometer (AVHRR) data to detect fires [3–6]. From year 2000, the Moderate Resolution Imaging Spectroradiometer (MODIS) which carried on both the Terra and Aqua satellite has been broadly used for fire detection [7-11]. At the same time, many scientists have used the Along Track Scanning 1(ATSR-1) launched on ERS-1 in July 1991, ATSR-2 launched on ERS-2 in April 1995, and the Advanced Along Track Scanning Radiometer (AATSR) launched on ENVISAT in March 2002 to monitor fire [12-16]. The FengYun-3 (FY-3) series are the second generation of Chinese polar-orbiting meteorological satellites (following on of FY-1 series). Key aspects of the FY-3 satellite series include collecting atmospheric data for intermediate-term and long-term weather forecasting and global climate research. FY 3A and FY 3B were launched in the year 2008 and the year 2010 respectively. The Visible and Infrared Radiometer (VIRR) carried by FY3A/B (FY3A/B-VIRR), which spatial resolution is 1,100 meter at nadir and saturation temperature is 350k in central wavelength at 3.74µm and 330k at 10.8µm. The saturation temperature of 3.74µm of FY3A/B VIRR is higher than that of NOAA/AVHRR. It supplies a useful instrument for Chinese forest fire monitoring.

2 Study area and dataset

2.1 Study area

The country of China has been selected as the study area. It locates between 73°40'E to 135°2'30"E and 3°52'N to 53°33'N. The climate includes cold-temperate, warm-temperate, temperate, sub-tropical and tropical zone from the Northeast to the South of China.



The vegetation types are more than 2,000 dominants of communities in China. The results of the 7th Chinese national forest resources inventory showed that the forest area was about 195.5 million ha and the forest coverage was 20.36%. The northeast forest region is the first of five important forest regions of China. Many large forest fires have taken place in this region, such as the famous large forest fire which took place in 1987. The southwest forest region is the second of five important forest regions of China. The frequency of forest fires is the largest compared to other regions in China every year.

2.2 Dataset

The geolocated and calibrated FY3A/B VIRR L1B images have been used for studying the forest fires identification algorithm. The images have been supplied by the National Satellite Meteorological Center of Chinese Meteorological Administration. The instrument includes 10 channels in the spectral range of $0.43 - 12.5 \,\mu\text{m}$. The spatial resolution at nadir is 1,100 meter on a swath of 2,800 km (FOV=±55.4°). The performance characteristics of VIRR instrument are shown in tab. 1.

| Channel | Spectral range | Noise equivalent reflectance | Dynamic range |
|---------|----------------|------------------------------|---------------|
| Number | (µm) | or NEAT (at 300 K) | (% or K) |
| 1 | 0.58-0.68 | 0.1% | 0-100% |
| 2 | 0.84-0.89 | 0.1% | 0-100% |
| 3 | 3.55-3.93 | 0.3k-0.4k | 180-350k |
| 4 | 10.3-11.3 | 0.2k | 180-330k |
| 5 | 11.5-12.5 | 0.2k | 180-330k |
| 6 | 1.55-1.64 | 0.15% | 0-90% |
| 7 | 0.43-0.48 | 0.05% | 0-50% |
| 8 | 0.48-0.53 | 0.05% | 0-50% |
| 9 | 0.53-0.58 | 0.05% | 0-50% |
| 10 | 1.325-1.395 | 0.19% | 0-90% |

| Table 1: | Performance | characteristics | of | VIRR. |
|----------|-------------|-----------------|----|-------|
| | renormance | characteristics | 01 | VINN. |

3 Methodology

3.1 Data preprocessing

The selected FY3A/3B VIRR L1B datasets, which formation recorded as HDF 5.0, were pre-processed including physical value calculation from DN and geometrically processing using program. Then, the value of every band was converted to the reflectance (at the top of the atmosphere) or bright temperature, and exported as a Geo-tiff format.





Figure 1: Bright temperature of fire in different bands in diurnal VIRR images.

3.2 Data analysis

In order to get the character of active forest fire in FY3A/B VIRR images, the statistic and spectral analysis of fire, smoke, burnt scar, vegetation, land, cloud, water and snow have been sampled from the selected VIRR L1B production images. The statistic analysis includes the average, maximum, minimum, standard deviation, and absolute deviation of reflectance or bright temperature. The statistics of the thermal values were analyzed according to the bright temperature in channels 3–5 and the difference between channels 3 and 4, distinguishing between night images and diurnal images, always on non-saturated pixels. Besides, for diurnal images, the reflectance in channels 1, 2, 6, 7, 8, 9 and 10 were also analyzed with a view to using them for the filtering of false alarms. Part of the analysis results have been listed in figures 2 and 3.

According to the analyzing results, the differences of these typical land cover have been found in different channels of VIRR images. So, the sensitive channels have been selected to identify forest fires.

3.3 Algorithm

The algorithm uses brightness temperatures derived from the channel 3 and the channel 4 of VIRR images, denoted by T_4 and T_{11} , respectively. Channel 5 is used for cloud masking; brightness temperatures for this channel are denoted by T_{12} . The channel 1 (red), channel 2 (near infrared), and channel 10 (SWIR) are used to reject false alarms and mask clouds. Their reflectance is denoted by R_1 R_2 and R_{10} , respectively. The channel 6 (SWIR) is used to reject sun glint, water-induced false alarms; the reflectance of this channel is denoted by R_6 . A summary of all VIRR channels used in the algorithm is shown in tab. 2.





Figure 2: Average value and their standard deviation of typical and cover in diurnal VIRR images.



Figure 3: Result of forest fire identification using VIRR images.

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| Channel | Central wavelength | Purpose |
|---------|--------------------|---|
| Number | (µm) | |
| 1 | 0.63 | Cloud masking; Sun glint and coastal false |
| | | alarm rejection. |
| 2 | 0.86 | Cloud masking; Bright surface, sun glint, |
| | | and coastal false alarm rejection. |
| 3 | 3.74 | Active fire detection |
| 4 | 10.8 | Active fire detection |
| 5 | 12.0 | Cloud masking |
| 6 | 1.60 | Sun glint and coastal false alarm rejection |
| 10 | 1.36 | Cloud masking; water masking |

Table 2: The selected VIRR channel in detection algorithm.

3.3.1 Cloud masking

Clouds in fire identification process using satellite images have two roles. One is reducing the active fire points when the cloud covers the fire. The other is increasing the false active fire points when the strong solar irradiation. Cloud detection was performed using a modification technique based on the methodology of Stroppiana *et al.* [17] in AVHRR-derived Global Fire Product.

Daytime pixels are considered to be cloud if one condition of the eqn (1), (2), (3), and (4) is satisfied:

$$R_1 + R_2 > 90\%$$
 (1)

$$I_{12} \le 264 K$$
 (2)
(P + P > 75% (2))

$$\begin{cases} X_1 + X_2 > 7576 \\ T_{12} < 285k \end{cases}$$
(3)

$$R_{10} > 40\%$$
 (4)

Nighttime pixels are flagged as cloud if the eqn (2) condition is satisfied.

3.3.2 Water masking

Water pixels were identified using the 1.1km prelaunch land/sea mask contained in the VIRR geolocation product. Significant errors have been noted in this dataset. However, the values of daytime pixels belong to water in band 10 and NDVI are different with other bands. So, the water pixels of daytime images have been classified as water if one condition of the eqn (5) or (6) is satisfied:

$$R_{10} < 6\%$$
 (5)

3.3.3 Fire identification algorithms

A neighbor 'spilt windows' criterion identical method has been used for fire identification. The neighbor 'spilt windows' criterion identical method is widely used in fire detection of satellite image (Kaufman and Justice [7] and Kaufman *et al.* [8]; Justice *et al.* [9]; Giglio *et al.* [11]). Here, a 'spilt window' (from 3×3 to



 11×11 pixels) has been used to identify fire and a series of tests are used to perform fire detection. These look for the characteristic signature of an active fire in which both the brightness temperature of band 3, 4 and the difference of bands 3 and 4, depart substantially from that of the non-fire background. Relative thresholds are adjusted based on the natural variability of the background. The conditional tests are listed from eqns. (7)–(10).

$$DT > \overline{DT}_b + 4.0\Delta DT_b \tag{7}$$

$$T_4 > \overline{T}_{4b} + 3.0\Delta T_{4b} \tag{8}$$

$$T_{11} > \overline{T}_{11b} + \Delta T_{11b} - 4.0k \tag{9}$$

$$2.0\% < NDVI < 23.0\% \tag{10}$$

where: DT is bright temperature difference of 3.74 µm and 11 µm;

 ΔDT_{h} is the standard deviation of background pixels of DT

 \overline{DT}_{b} is the mean of background pixels of DT

 \overline{T}_{4b} is the mean of background pixels at 3.7 µm band ΔT_{4b} is the standard deviation of background pixels at 3.7 µm band \overline{T}_{11b} is the mean of background pixels in 11 µm band

 $\Delta {\it T}_{{\scriptscriptstyle 1b}}$ is the standard deviation of background pixels at 11 μm band

NDVI is the Normal Difference Vegetation Index.

A pixel in daytime will be flagged as fire point if it satisfies eqns. (7)–(10). However, a pixel in night-time just need satisfy eqns. (7), (8) and (9).

3.3.4 False alarm rejection

To the diurnal image, false fire alarm can be caused by strong solar, desert boundary and coastal. The 1:1,000,000 scale vegetation distribution map has been used to identify the type of land cover in this experiment. So, we just consider the false fire caused by strong solar in diurnal images. A pixel in daytime will be eliminated as sun false fire point from fire point if its sun glint satisfies eqn. (10).

$$\begin{cases} R_1 > 20\% \\ R_2 > 5\% \\ \theta < 40^\circ \end{cases}$$
(10)

where: θ is the sun glint.

The sun glint was calculated by using the methodology as Kaufman *et al.* [7] as eqn (11).

$$\cos\theta = \cos\theta_{v}\cos\theta_{s} - \sin\theta_{v}\sin\theta_{s}\cos\Phi \tag{11}$$



Here, θ_v and θ_s are the view and solar zenith angles, respectively, and Φ is the relative azimuth angle.

4 Results and validation

4.1 Results

The fire identification methods have been used in Chinese forest fire monitoring. Fig. 3 and tab. 3 are the results of fire identification.

| Longitude | Latitude | Ref1 | Ref2 | T4 | T12 | Area(ha) | Date | Time | Satellite | Country | Types |
|------------|-----------|-------|-------|--------|--------|----------|----------|------|-----------|--------------------------|-----------------------|
| 122.368271 | 51.675926 | 19.06 | 23.65 | 344.81 | 305.57 | 341.90 | 20100628 | 2:25 | FY3A_VIRR | Genhe, Inner Mongolia | Grass |
| 122.384087 | 51.674412 | 15.37 | 20.42 | 343.95 | 306.46 | 339.90 | 20100628 | 2:25 | FY3A_VIRR | Genhe, Inner Mongolia | lixed forest |
| 122.400314 | 51.672855 | 12.86 | 19.19 | 337.47 | 307.88 | 337.92 | 20100628 | 2:25 | FY3A_VIRR | Genhe, Inner Mongolia | Shrubs |
| 122.416695 | 51.671280 | 10.05 | 22.72 | 333.21 | 305.21 | 335.96 | 20100628 | 2:25 | FY3A_VIRR | Genhe, Inner Mongolia | Needleleaf forests |
| 123.306114 | 51.441746 | 11.61 | 24.73 | 345.06 | 304.79 | 245.54 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.302185 | 51.432137 | 13.37 | 20.91 | 345.33 | 306.85 | 245.54 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.316292 | 51.430664 | 11.75 | 24.26 | 328.65 | 302.06 | 244.48 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.284515 | 51.423981 | 19.67 | 22.43 | 344.11 | 305.60 | 246.62 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | lixed forest |
| 123.312706 | 51.421036 | 13.22 | 24.72 | 345.30 | 305.15 | 244.48 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | lixed forest |
| 123.327263 | 51.419510 | 12.04 | 25.94 | 329.79 | 301.70 | 243.42 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.280937 | 51.414253 | 17.03 | 26.09 | 327.14 | 302.25 | 246.62 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | lixed forest |
| 123.295044 | 51.412781 | 14.39 | 24.87 | 342.45 | 306.94 | 245.54 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Grass |
| 123.309006 | 51.411320 | 12.78 | 25.79 | 340.66 | 302.34 | 244.48 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | lixed forest |
| 123.543861 | 51.315868 | 12.75 | 24.67 | 330.97 | 303.94 | 226.88 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Grass |
| 123.484764 | 51.312355 | 27.69 | 28.94 | 326.82 | 302.77 | 230.55 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Broadleaf forests |
| 123.498596 | 51.310883 | 23.30 | 26.66 | 328.60 | 302.31 | 229.62 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.525826 | 51.307976 | 18.02 | 21.63 | 328.85 | 305.57 | 227.78 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Needleleaf forests |
| 123.540291 | 51.306427 | 14.65 | 19.34 | 342.72 | 310.59 | 226.88 | 20100628 | 2:25 | FY3A_VIRR | Huma, Heilongjiang | Grass |

Table 3: Records of forest fire identification using VIRR images.

4.2 Validation

We had put the active fire points on the geometrical correction VIRR images, and decided whether they are fires or false fires.

Twelve forest fires have been selected to test the fire detection methodology in this time. Here, we use the hitting precision and missing precision to test the method. The hitting precision is calculated by using eqn (12). The missing precision is calculated by using eqn (13). Part of results has been listed in tab. 4.



$$p = \frac{M}{N} * 100\%$$
 (12)

where: P is hitting precision; M is the number of pixels; N is the number of fire pixels.

where: E is missing precision;

| Date | Location | Number of fire pixel | М | N-M | P (%) | E (%) |
|---------------|-------------------|-------------------------|-----|-----|----------|----------|
| Apr. 29, 2009 | Xunke, Heilong | 87 | 79 | 8 | 90.8 | 9.2 |
| May 3, 2009 | Xunke, Heilong | 36 | 32 | 4 | 91.6 | 8.4 |
| May 11, 2009 | Xunke, Heilong | 5 | 5 | 0 | 100.0 | 0.0 |
| Oct. 4, 2009 | Lansan, Hunan | 3 | 3 | 0 | 100.0 | 0.0 |
| Oct. 4, 2009 | Yudu, Jiangxi | 5 | 5 | 0 | 100.0 | 0.0 |
| June 28, 2010 | Genhe, Inner | 18 | 16 | 2 | 88.9 | 11.1 |
| | Mognia | | | | | |
| June 28, 2010 | Huma, | 27 | 24 | 3 | 88.9 | 11.1 |
| | Heilongjiang | | | | | |
| Feb. 10, 2010 | Tianlin, Guangxi | 12 | 11 | 1 | 91.6 | 0.0 |
| Feb. 27, 2011 | Pingtang, Guizhou | 2 | 2 | 0 | 100.0 | 0.0 |
| Feb. 27, 2011 | Ganzee, Sichuan | 5 | 4 | 1 | 80.0 | 20.0 |
| Apr. 30, 2011 | Huguan, Shanxi | 41 | 38 | 3 | 92.7 | 7.3 |
| Jan. 24, 2112 | Dali, Yunnan | 1 | 1 | 0 | 100.0 | 0.0 |
| Total | | 242 | 220 | 22 | 90.9 | 9.1 |

| Table 4: | Results | of va | lidation. |
|----------|---------|-------|-----------|
|----------|---------|-------|-----------|

5 Conclusions

The forest fire identification methodology has been studied by using FY3A/B-VIRR images in the experimental area. It shows that the FY3A/B-VIRR images are useful data for forest fire monitoring. They can give some fire information for fire prevention.

The relative threshold fire identification by using the bright temperature and reflectance of FY 3A/B VIRR images for the experiment area has been developed and validated in this time. From tab. 4, we can see all of the hitting precisions are more than 85%. The average hitting precision is about 90%. It shows that the methodology is viable. However, there are still missing some fire pixels in the validation area. In fact, the forest fires often take place during 10:00 am and 15:00 pm in China. At the same time, this study just has been validated by using part of FY 3A/B VIRR images. So, further study is needed to verify the algorithm of FY 3A/B VIRR whether it can satisfy the condition of national of



China or global scale. In the future, there might be some parameters that needed to be considered in the model, adjustment and modification.

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