

# UMTS network planning using genetic algorithms

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## Abstract

The continuously growing of cellular net complexity, that followed the introduction of UMTS technology, has reduced the usefulness of traditional design tools, making them quite unworthy.

The purpose of this paper is to illustrate a design tool for UMTS optimized net planning based on genetic algorithms. In particular, some utilities for 3G net designers, useful to respect important aspects (such as the environmental one) of the cellular net, are shown.

*Keywords: UMTS network planning, genetic algorithms.*

## 1 Introduction

The extraordinary growth of mobile telecommunication sector of the last years has implied strong economical investments of enterprises that operate in this vital sector, in particular way from the net infrastructure point of view.

The development of third generation mobile communication (3G) such as UMTS, with the related advanced allowed services, has increased the need of an efficient network planning that could keep into account all the aspects of complexity which are typical of this new technology, changing the traditional approach to this kind of problem [1-3].

In fact, even if the WCDMA techniques used by UMTS reduces the problems related to the frequency management, the capacity of the net represents a vital problem since the capacity of each radio cell is strongly related to the signal – interference ratio (SIR), that is a function of the number and of the kind of active users inside each communication cell [2-3].

The need of reduction of radiated power, due to environmental restrictions, and the need of guaranteeing a good quality of services, requires a capillary



distribution of Radio Base Stations (BSs) on the territory to be covered. Nowadays, due to the reduced availability of BSs placement zones, it is necessary to seek new and efficient methods to optimize the cellular coverage services.

Different and interesting solutions have already been proposed [3-9]. One of the most interesting is based on a technique inspired to the natural evolution, represented by the Genetic Algorithms (GAs) [8-10], which are good candidates, thanks to their versatility, to solve a complex and multi-parametric problem such as the considered one.

The purpose of this work is to illustrate a new GAs based method to solve the optimization coverage and capacity problem of UMTS system, keeping into account its specific features and the typical restrictions found in real situations, such as the environmental one.

## 2 The genetic algorithms

Genetic algorithms are considered wide range numerical optimisation methods, which use the natural processes of evolution and genetic recombination. Thanks to their versatility, they can be used in different application fields.

The algorithms encode each parameters of the problem to be optimised into a proper sequence (where the alphabet used is generally binary) called a gene, and combine the different genes to constitute a chromosome. A proper set of chromosomes, called population, undergoes the Darwinian processes of natural selection, mating and mutation, creating new generations, until it reaches the final optimal solution under the selective pressure of the desired fitness function.

GA optimisers, therefore, operate according to the following nine points:

- 1) encoding the solution parameters as genes;
- 2) creation of chromosomes as strings of genes;
- 3) initialisation of a starting population;
- 4) evaluation and assignment of fitness values to the individuals of the population;
- 5) reproduction by means of fitness-weighted selection of individuals belonging to the population;
- 6) recombination to produce recombined members;
- 7) mutation on the recombined members to produce the members of the next generation.
- 8) evaluation and assignment of fitness values to the individuals of the next generation;
- 9) convergence check.

The coding is a mapping from the parameter space to the chromosome space and it transforms the set of parameters, which is generally composed by real numbers, in a string characterized by a finite length. The parameters are coded into genes of the chromosome that allow the GA to evolve independently of the parameters themselves and therefore of the solution space.

Once created the chromosomes it is necessary choose the number of them which composes the initial population. This number strongly influences the

efficiency of the algorithm in finding the optimal solution: a high number provides a better sampling of the solution space but slows the convergence.

Fitness function, or cost function, or object function provides a measure of the goodness of a given chromosome and therefore the goodness of an individual within a population. Since the fitness function acts on the parameters themselves, it is necessary to decode the genes composing a given chromosome to calculate the fitness function of a certain individual of the population.

The reproduction takes place utilising a proper selection strategy which uses the fitness function to choose a certain number of good candidates. The individuals are assigned a space of a roulette wheel that is proportional to their fitness: the higher the fitness, the larger is the space assigned on the wheel and the higher is the probability to be selected at every wheel tournament. The tournament process is repeated until a reproduced population of  $N$  individuals is formed.

The recombination process selects at random two individuals of the reproduced population, called parents, crossing them to generate two new individuals called children. The simplest technique is represented by the single-point crossover, where, if the crossover probability overcomes a fixed threshold, a random location in the parent's chromosome is selected and the portion of the chromosome preceding the selected point is copied from parent A to child A, and from parent B to child B, while the portion of chromosome of parent A following the random selected point is placed in the corresponding positions in child B, and vice versa for the remaining portion of parent B chromosome.

If the crossover probability is below a fixed threshold, the whole chromosome of parent A is copied into child A, and the same happens for parent B and child B. The crossover is useful to rearrange genes to produce better combinations of them and therefore more fit individuals. The recombination process has shown to be very important and it has been found that it should be applied with a probability varying between 0.6 and 0.8 to obtain the best results.

The mutation is used to survey parts of the solution space that are not represented by the current population. If the mutation probability overcomes a fixed threshold, an element in the string composing the chromosome is chosen at random and it is changed from 1 to 0 or vice versa, depending of its initial value. To obtain good results, it has been shown that mutations must occur with a low probability varying between 0.01 and 0.1.

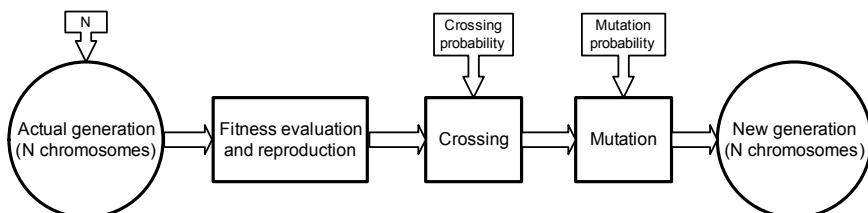


Figure 1: Operative scheme of a GA iteration.

The converge check can use different criteria such as the absence of further improvements, the reaching of the desired goal or the reaching of a fixed maximum number of generations.

### 3 Definition of the problem

It is evident that, thanks to their versatility, GAs represent good candidates to solve the typical optimization problem of UMTS cellular net planning.

GAs have already been used for this kind of problem [5-9], even if their application is limited only to territory coverage. On the contrary, in this paper, other parameters (such as SIR), that strongly influence the results in real situations, are considered, generating a powerful tool for optimal net planning.

Some general criteria have been adopted, without reducing the generality of the problem that are:

- 1) it has been considered a suburban area whose dimensions are 3 km x 3 km with an inhomogeneous traffic distribution;
- 2) high gain BSs, placed at the same height, are considered;
- 3) circular irradiation diagrams of BSs, instead of three-lobe diagrams, are considered. This assumption, made to simplify the implementation of the algorithm, does not influence the final result;
- 4) a consolidated electromagnetic propagation model [11] has been adopted;
- 5) the SIR has been calculated using the following formula [3]:

$$SIR = SF \times \frac{P_r}{I_{in} + I_{out} + \eta} \quad (1)$$

where SF is the Spreading Factor,  $P_r$  is the received power,  $I_{in}$  is the intra-cells interference,  $I_{out}$  is the inter-cells interference,  $\eta$  is the thermal noise.

### 4 Proposed algorithms for optimization problem

Since a plenty of goals and restrictions must be respected in a UMTS net, the design can be made following different criteria.

The designer can therefore have different optimization tools that allows him to consider, in each real situation, the predominant aspects.

For this reason, in this paper, the different mentioned real situations have been considered, showing the great flexibility of the proposed method.

#### 4.1 Case 1

A situation without information about traffic level, without restrictions about the maximum number of BSs that can be used and without restrictions about their territorial placement is considered.

The goal of this case is the optimization of territorial coverage, neglecting the performance of the service aspects.



To reach this target it is necessary to find a proper fitness function of GA and a proper chromosome.

The BSs are coded, inside the chromosome, by means of 2 double vectors, that represents the coordinates of each BS on the territory. To determine the length of the chromosome, related to the number of considered BSs, the minimum number of BSs necessary to ensure the coverage of a given percentage  $p_T$  of the territory, is calculated as:

$$n\_bs_{min} = \lceil p_T \times A_{Tot} / C_{BS} \rceil \quad (2)$$

where  $A_{Tot}$  represents the area of the considered territory;  $p_T$  is the percentage of territory that must be covered;  $C_{BS}$  is the maximum coverage area of each BSs.

Due to the usual not regular shape of the territory to be covered and to the impossibility of perfectly matching the coverage diagram of near BSs, the value calculated by means of eq.(2) may be not sufficient and it is necessary to consider a proper multiple  $n$ , generally equal to two. In the considered situation, we have  $n\_srbs_{min}=23$ .

Each gene of the chromosome, representing a BSs, is composed by a number  $k$  of variables equal to 3: 2 are used for the position of the BSs on the territory and 1 is used to represent the state of activation /deactivation of the BSs.

The length  $\lambda$  of the chromosome (in term of number of variables) in the considered situation is expressed by the following formula:

$$\lambda = n \times n\_bs_{min} \times k. \quad (3)$$

Substituting the numerical values, we have:  $\lambda = 138$ .

The fitness function ( $F_{fit}$ ) to minimize is, in this situation:

$$F_{fit} = \alpha \times \frac{A_{Tot} - A_{Cov}}{A_{Tot}} + \beta \times \frac{O_L}{A_{Tot}} + \gamma \times \frac{n\_bs}{n \times n\_bs_{min}}, \quad (4)$$

where  $A_{Cov}$  is the sum of the coverage areas of the BSs placed on the territory,  $O_L$  is the sum of the superposition areas of radiation diagram of BSs,  $n\_bs$  is the number of BSs placed on the territory,  $\alpha$ ,  $\beta$  e  $\gamma$  are weight coefficients that are varied as a function of the project goals.

## 4.2 Case 2

In real situations, the traffic inside a territory is not distributed in a homogeneous way. The concentration users' zone are named hot spots. It is evident that, to guarantee a certain QoS level, it is necessary to reduce, as more as possible, the intra-cells and inter-cells interference. As a consequence, placing a BS in a hot spot represents a first significant step in net optimization.

Given a non homogeneous traffic distribution and an initial numbers of BSs, calculated according to eq.(2), the algorithm is capable of maximizing coverage and capacity and of minimizing cost.

The fitness function to minimize in this case is:

$$F_f = \alpha \times \frac{A_{Tot} - A_{Cov}}{A_{Tot}} + \beta \times \frac{O_L}{A_{Tot}} + \gamma \times \frac{n\_bs}{n \times n\_bs_{min}} + \delta \times \frac{U_{Tot} - U_{Cov}}{U_{Tot}} \quad (5)$$



where  $U_{Tot}$  is the number of estimated users inside the territory and  $U_{Cov}$  is the number of users covered by the active BSs.

### 4.3 Case 3

In real situation, for environmental reasons, it is not possible to place BSs anywhere. In this case, only a limited number of zones is available and it is necessary to find a function that accepts, as inputs, not only information concerning traffic but also information concerning the available installation zones (in particular their coordinates). The function must optimize the net considering these limitations that is a cost vinculum. Its structure is therefore equal to the one of eq.(5) less the cost factor.

### 4.4 Case 4

Another crucial factor in UMTS system is represented by the radiated power (environmental restrictions), with particular respect to the QoS. Therefore the net needs, sometimes, to place the BSs on the territory to reduce, as more as possible, the emitted power, guaranteeing an acceptable level of QoS.

In this case the power of each BS is considered as input parameter (which can be properly changed), that influences not only the coverage area but also the transmission capacity.

The fitness function is therefore:

$$F_r = \alpha \times \frac{A_{Tot} - A_{Cov}}{A_{Tot}} + \beta \times \frac{O_L}{A_{Tot}} + \gamma \times \frac{P_{Tot}}{n_{srb} \times P_{Max}} + \delta \times \frac{U_{Tot} - U_{Cov}}{U_{Tot}} \quad (6)$$

where  $P_{Tot}$  is the total power of BSs and  $P_{Max}$  in the maximum power radiated by each BS.

## 5 Performance of the algorithms and results

In the following the results of each situation considered above are shown.

### 5.1 Case 1

Purpose of case 1 is the optimization of the net considering only the coverage of the territory, keeping into account the cost factor. The results obtained are shown in the following.

A first situation has been obtained considering the following values for the weights of fitness function:  $\alpha = 0.6$ ,  $\beta=0.1$ ,  $\gamma=0.3$ . The results are shown in figs.2. It is possible to see that the presence of a strong cost component has heavily penalized the coverage maximization.

A second situation has been obtained considering the following values for the weights of fitness function  $\alpha = 1$ ,  $\beta=0$ ,  $\gamma=0$ , that is to maximize coverage considering the cost as a quasi-neglectable factor.

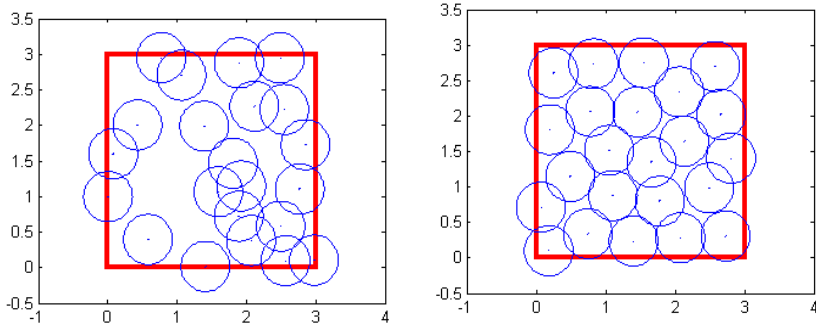


Figure 2: Initial situation (left) and final results after 300 generations (right).

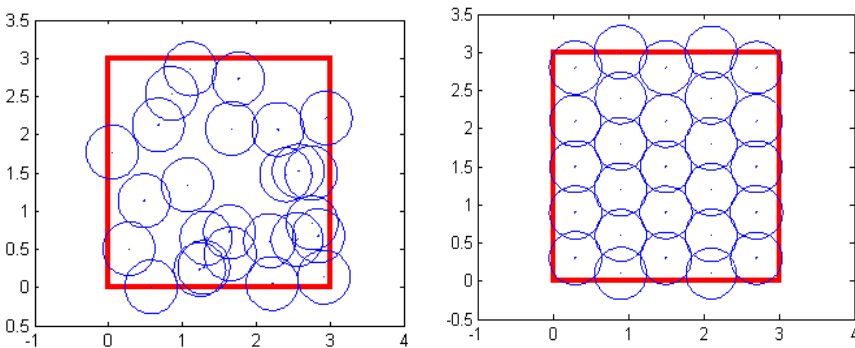


Figure 3: Initial situation (left) and final results after 300 generations (right).

Due to the structure of fitness function, it always tends to limit the number of BSs on the territory, evaluating each time if the coverage gain justify the increase of the number of BSs.

## 5.2 Case 2

In this case, given a non homogenous traffic distribution, the fitness function tends to maximize capacity and coverage, trying anyway to reduce costs.

A first situation has been obtained considering the following values for the weights of fitness function:  $\alpha=0.3$ ,  $\beta=0.1$ ,  $\gamma=0.2$  e  $\delta=0.5$ , that is to consider mainly the capacity component. The results are shown in figs.4.

A second situation has been obtained considering the following values for the weights of fitness function:  $\alpha=0.5$ ,  $\beta=0.1$ ,  $\gamma=0.2$  e  $\delta=0.3$ , that is to consider complementary situation with respect to the previous one. The results are shown in figs.5.

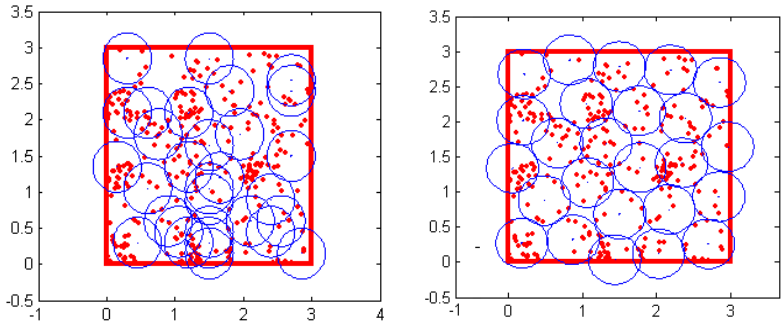


Figure 4: Initial situation (left) and final results after 1000 generations (right).

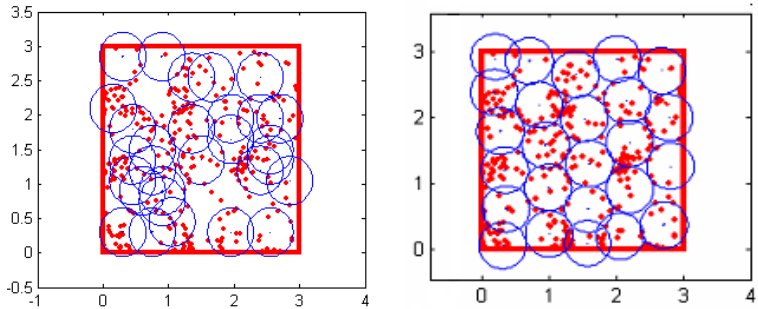


Figure 5: Initial situation (left) and final results after 1000 generations (right).

### 5.3 Case 3

In this case, given a limited numbers of zones to place BSs (environmental restrictions) and a limited number of BSs (26 for example), the maximum coverage is desired. The obtained results are shown in figs.6

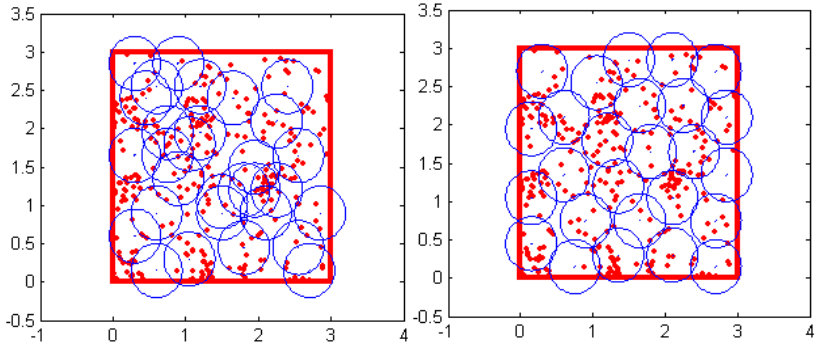


Figure 6: Initial situation (left) and final results after 600 generations (right).





## 5.4 Case 4

In this situation, the maximization of coverage and capacity is desired, with a reduction of the emitted power (environmental restrictions).

The results are shown of figs.7. It is possible to see that the GA places the BSs in the zones where the traffic density is higher, to reduce, as more as possible, the radiated power, reducing, obviously, also the coverage area of the BSs, as it is possible to see from figs.7).

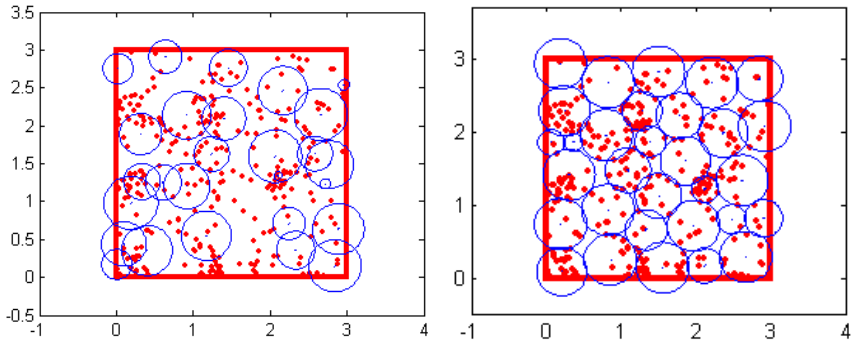


Figure 7: Initial situation (left) and final results after 1000 generations (right).

## 5.5 Results

The results are shown in table 1.

Table 1: Table of performances of each considered situation.

Fitness function (Case)	Number of BSs	Coverage	Capacity
1 A	23	89,3%	-
1 B	27	98.1%	-
2 A	25	92.3%	99.06%
2 B	25	96.8%	98.12%
3	26	96.9%	98.75%
4	31	94.9%	98.75%

From tab.1 it is possible to see that in the most of considered situations, the obtained solutions are satisfying from both coverage and capacity point of view. The results demonstrate that the GA ensures always high quality results, whose performances increase with the precision of input data.

In particular, a significant reduction of number of BSs is always present (cost reduction) even if their initial number is not a given data. This number is always a bit greater than the minimum number of BSs of the considered territory,

calculated with eq.(2), due to the impossibility of perfectly matching the circular radiation diagrams of near BSs.

It is also possible to see a certain variability from the coverage point of view while a quasi constant behaviour from the capacity point of view.

The computation time is also quite short, since the most of good solutions are obtained after 150-200 generations of GA: the other subsequent generations give only little improvement of quality of solutions.

## 6 Conclusions

A genetic algorithm based technique to optimize the design of UMTS cellular nets has been presented.

The proposed method keeps into proper consideration the most of limits imposed by the installation of the BSs necessary to guarantee an optimal service, also including environmental restrictions.

Even if some simplifications were made, the considered technique is capable of ensuring good results from any point of view, representing a useful tools for UMTS initial optimization.

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